

BEST AVAILABLE COPY

DEP & REF
ROOM #307
12/15/04

Attorney Docket No. 0756-7230



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2004 DEC -7 11:14

In re Patent Application of:) Group Art Unit:

Kiyoshi KATO) Examiner:

Serial No. 10/735,717) CERTIFICATE OF MAILING

Filed: December 16, 2003) I hereby certify that this correspondence is
being deposited with the United States Postal
Service with sufficient postage as First Class

For: SEMICONDUCTOR MEMORY) Mail in an envelope addressed to:
Commissioner for Patents, P.O. Box 1450,
Alexandria, VA 22313-1450, on

ELEMENT, SEMICONDUCTOR) 12-1-04

MEMORY DEVICE AND METHOD) Richard M. Stamps

OF FABRICATING THE SAME)

REQUEST FOR REFUND

Honorable Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicant respectfully requests a refund in connection with the above-identified patent application.

Applicant submitted a Response to Notice to File Missing Parts of Nonprovisional Application on August 27, 2004 with Check No. 3463 in the amount of \$1,832.00 (a copy of the canceled check is enclosed). On November 8, 2004, Deposit Account 50-2280 was charged \$130.00 for a Non-English Specification. However, when the Response to Notice to File Missing Parts of Nonprovisional Application was filed on August 27, 2004, the fee of \$130.00 for a Non-English Specification was paid. The breakdown of the fees paid is: \$992 Additional Claims; \$290 Multiple Dependent Claims; \$420 Two-Month Extension; **\$130 Non-English Specification.**

It is respectfully requested that the payment for the Non-English Specification in the amount of \$130.00 be credited to Deposit Account No. 50-2280 (0756-7230).

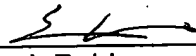
BEST AVAILABLE COPY

- 2 -

Application Serial No. 10/735,717
Attorney Docket No. 0756-7230

Should any additional information be needed, please do not hesitate to contact Applicants' undersigned representative at the number listed below.

Respectfully submitted,



Eric J. Robinson
Reg. No. 38,285

Robinson Intellectual Property Law Office, P.C.
PMB 955
21010 Southbank Street
Potomac Falls, Virginia 20165
(571) 434-6789

BEST AVAILABLE COPY

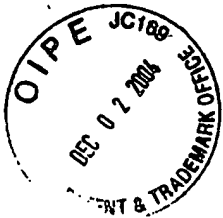
PTO/SB/05 (03-01)

19270 U.S. PTO
 10/735717
 121603

| | | | |
|--|--|---|--|
| UTILITY PATENT APPLICATION TRANSMITTAL <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i> | | Attorney Docket No. 0756-7230 | |
| | | First Inventor Kiyoshi KATO | |
| | | Title SEMICONDUCTOR MEMORY ELEMENT, SEMICONDUCTOR MEMORY DEVICE AND METHOD OF FABRICATING THE SAME | |
| | | Express Mail Label No. | |
| APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents.</i> | | ADDRESS TO: Commissioner for Patents Box Patent Application Washington, DC 20231 | |
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i> 2. <input type="checkbox"/> Applicant claims small entity status. <i>See 37 CFR 1.27.</i> 3. <input checked="" type="checkbox"/> Specification [Total Pages 24] <i>(preferred arrangement set forth below)</i> <ul style="list-style-type: none"> - Descriptive title of the invention - Cross Reference to Related Applications <i>(if applicable)</i> - Statement Regarding Fed sponsored R & D <i>(if applicable)</i> - Reference to sequence listing, a table, or a computer program listing appendix <i>(if applicable)</i> - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings <i>(if filed)</i> - Detailed Description - Claim(s) - Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 15] 5. Oath or Declaration [Total Pages 4] <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i> <ul style="list-style-type: none"> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b) 6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 | | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies; or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies | |
| | | ACCOMPANYING APPLICATION PARTS | |
| | | 9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i> 11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i> 12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> 15. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> 2002-366600 filed 12/18/2002 16. <input type="checkbox"/> Nonpublication request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. <input type="checkbox"/> Other: | |
| 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.: | | | |
| Prior application information: Examiner Group / Art Unit: | | | |
| For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts. | | | |
| 19. CORRESPONDENCE ADDRESS | | | |
| <input checked="" type="checkbox"/> Customer Number or Bar Code Label | | 31780 Or <input type="checkbox"/> Correspondence address below | |
| Name Eric J. Robinson | | | |
| Address Robinson Intellectual Property Law Office, P.C. PMB 955 21010 Southbank Street | | | |
| City Potomac Falls State Virginia Zip Code 20165 | | | |
| Country USA Telephone 571-434-6789 Fax 571-434-9499 | | | |
| Name (Print/Type) Eric J. Robinson | | Registration No. (Attorney/Agent) 38,285 | |
| Signature | | Date December 16, 2003 | |

BEST AVAILABLE COPY

DEP & REF
ROOM #307
OFFICE



Attorney Docket No. 0756-7230

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

DEC -7 11 03

In re Patent Application of:

Kiyoshi KATO

Serial No. 10/735,717

Filed: December 16, 2003

For: SEMICONDUCTOR MEMORY

ELEMENT, SEMICONDUCTOR

MEMORY DEVICE AND METHOD

OF FABRICATING THE SAME

) Group Art Unit:

) Examiner:

) CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

12-1-04
Richard M. Stanger

REQUEST FOR REFUND

Honorable Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicant respectfully requests a refund in connection with the above-identified patent application.

Applicant submitted a Response to Notice to File Missing Parts of Nonprovisional Application on August 27, 2004 with Check No. 3463 in the amount of \$1,832.00 (a copy of the canceled check is enclosed). On November 8, 2004, Deposit Account 50-2280 was charged \$130.00 for a Non-English Specification. However, when the Response to Notice to File Missing Parts of Nonprovisional Application was filed on August 27, 2004, the fee of \$130.00 for a Non-English Specification was paid. The breakdown of the fees paid is: \$992 Additional Claims; \$290 Multiple Dependent Claims; \$420 Two-Month Extension; **\$130 Non-English Specification.**

It is respectfully requested that the payment for the Non-English Specification in the amount of \$130.00 be credited to Deposit Account No. 50-2280 (0756-7230).

BEST AVAILABLE COPY

- 2 -

Application Serial No. 10/735,717
Attorney Docket No. 0756-7230

Should any additional information be needed, please do not hesitate to contact Applicants' undersigned representative at the number listed below.

Respectfully submitted,



Eric J. Robinson
Reg. No. 38,285

Robinson Intellectual Property Law Office, P.C.
PMB 955
21010 Southbank Street
Potomac Falls, Virginia 20165
(571) 434-6789

Deposit Account Statement

BEST AVAILABLE COPY



**United States
Patent and
Trademark Office**

Return To:
USPTO
Home
Page
Finance
Online
Shopping
Page

Deposit Account Statement

Requested Statement Month: November 2004
Deposit Account Number: 502280
Name: ROBINSON INTELLECTUAL PROPERTY LAW OFFICE
Attention: ROSE M FICHEL
Address: PMB 955
City: POTOMAC FALLS
State: VA
Zip: 20165

| DATE | SEQ | POSTING REF TXT | ATTORNEY DOCKET NBR | FEE CODE | AMT | BAL |
|-------|-----|--------------------|---------------------------|-------------|-----------|------------|
| 11/05 | 100 | 09462075 | 0670-221 | 1806 | -\$180.00 | \$3,168.00 |
| 11/08 | 22 | 10735717 | 0756-7230 | 1053 | \$130.00 | \$3,038.00 |

| | | | |
|------------|----------|-----------|------------|
| START | SUM OF | SUM OF | END |
| BALANCE | CHARGES | REPLENISH | BALANCE |
| \$2,988.00 | \$130.00 | \$180.00 | \$3,038.00 |

Need Help? | Return to USPTO Home Page | Return to Finance Online Shopping.

09/03/2004 10:58
 2209 0057496176
 PC100M27 1.50 PFI

0310000114
 HACHOVIA NA SVC071 5198T
 PHILA, PA 09022004 26PK
 0310000114

01824

PATENT AND TRADEMARK OFFICE
13-10-0001
09-01-2004
FOR CREDIT TO THE
U.S. TREASURY

© 1984 - 2000 INTUIT INC. # 785 1-800-433-8810

RIPLO

**ROBINSON INTELLECTUAL
PROPERTY LAW OFFICE, P.C.
PMB 955 21010 SOUTHBANK STREET
BOSTON, MA 02115**

SUNTRUST BANK
PO BOX 85024
RICHMOND, VA 23285
65-270/550

3463

8/27/2004

PAY TO THE
ORDER OF Commissioner of Patents

1,832.00

One Thousand Eight Hundred Thirty-Two and 00/100

DOLLARS

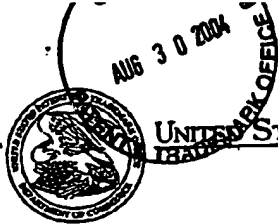
MEMO 0756-7230; SN 10/735,717

003463 0550027071000002581295

0000183200

11:003463 1:055002707 1000002581295

0000-183200



BEST AVAILABLE COPY

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

| APPLICATION NUMBER | FILING OR 371 (c) DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|------------------------|-----------------------|------------------------|
| 10/735,717 | 12/16/2003 | Kiyoshi Kato | 0756-7230 |

CONFIRMATION NO. 5660

FORMALITIES LETTER



OC000000012458334

31780
ERIC ROBINSON
PMB 955
21010 SOUTHBANK ST.
POTOMAC FALLS, VA 20165

Date Mailed: 04/27/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
 - The drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) (see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1)). See Figure(s) 1-15.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a Large Entity

130.00 OP
344.00 OP
648.00 OP
290.00

01 FC:1051
02 FC:1201
03 FC:1202
04 FC:1203

01/11/2005 YPOLITE1 00000001 10735717

01 FC:1053

130.00 OP

Adjustment date: 01/11/2005 YPOLITE1
09/01/2004 WABDELRI 00000001 10735717
01 FC:1051 -130.00 OP

Adjustment date: 01/11/2005 YPOLITE1
11/08/2004 ARGOTEN 00000012 502280 10735717
01 FC:1053 130.00 CR